

RECEIVED  
CENTRAL FAX CENTER

SEP 22 2006

**FROMMER LAWRENCE & HAUG LLP**

745 Fifth Avenue  
New York, New York 10151  
Telephone: (212) 588-0800  
Facsimile: (212) 588-0500

**FACSIMILE COVER LETTER**

**To:** Central Fax Center  
**Firm:** U.S. Patent and Trademark Office  
**Facsimile No.:** 571-273-8300  
**From:** William S. Frommer  
**Date:** July 14, 2006  
**Re:** Serial No. 10/016,332  
Attorney Docket 450100-3642.3  
**No. of Pages:** 8  
(Including cover page)

---

If you do not receive all pages or are unable to read the transmission, please call 212-588-0800.

---

**CONFIDENTIALITY NOTICE**

The documents accompanying this transmission contain confidential information intended for a specific individual and purpose. The information is private, and is legally protected by law. If you are not the intended recipient, you are hereby notified that any disclosure, copying, distribution, or the taking of any action in reliance on the contents of this facsimile is strictly prohibited.

00399699.DOC

RECEIVED  
CENTRAL FAX CENTER

SEP 22 2006

PATENT  
450100-3642.3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Yoichiro SAKO et al.

Serial No. : 10/016,332

For : SIGNAL RECORDING/REPRODUCING METHOD AND APPARATUS, SIGNAL RECORD MEDIUM AND SIGNAL TRANSMISSION/RECEPTION METHOD AND APPARATUS

Filed : November 2, 2001

Examiner : Huy Thanh Nguyen

Art Unit : 2621

745 Fifth Avenue  
New York, NY 10151  
Tel: 212-588-0800

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Transmitted herewith is an amendment in the above-identified application.

- ☒ No additional fee is required.  
☐ The fee has been calculated as shown below.  
☐ This is an application of a small entity under 37 CFR 1.9(f), and the amounts shown in parentheses apply.

Claims as Amended

(1)	(2) Claims remaining after amendment	(3)	(4) Highest number previously paid for	(5) Present extra	(6) Rate	(7) Additional Fee
Total claims	9	Minus	** - 20	*0x	\$50 (25)	=50
Independent claims	5	Minus	*** = 6	*0x	\$200 (100)	=50
Total additional fee for this amendment						\$0

\* If the entry in Column 2 is less than the entry in Column 4, write "0" in Column 5.

\*\* If the highest number of total claims previously paid for is less than 20, write "20" in this space.

\*\*\* If the highest number of independent claims previously paid for is less than 3, write "3" in this space.

- ☐ This application contains a multiple dependent claim. The required fee of \$360(180) has been previously paid ☐, or is paid herewith ☐.
- ☐ This response is being filed within the \_\_\_ month following the expiration of the term originally set therefore. This is a petition to request a \_\_\_ month extension of time. A check covering the cost of the petition is enclosed.
- ☐ A check in the amount of \$ \_\_\_ is attached, which covers the cost of ☐ additional claims ☐ petition for extension of time.
- ☐ Charge \$ \_\_\_ to Deposit Account No. 50-0320.
- ☒ Please charge any additional fees incurred by reason of this response or credit any overpayment to Deposit Account No. 50-0320.

FACSIMILE

I hereby certify that paper is being facsimile transmitted to the Patent and Trademark Office on the date shown below

Barnet Shindler  
Type or print name of  
Person signing certification  
Barnet Shindler  
Signature

September 22, 2006

Date of Signature

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP  
Attorneys for Applicants

By: William S. Frommer

William S. Frommer  
Reg. No. 25,506  
Tel: 212-588-0800

00399698.DOC

PATENT  
450100-3642.3**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**RECEIVED  
CENTRAL FAX CENTER

SEP 22 2006

Applicants : Yoichiro SAKO et al.

Serial No. : 10/016,332

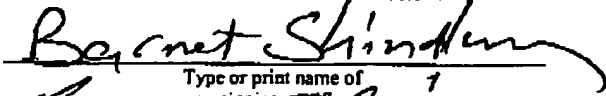
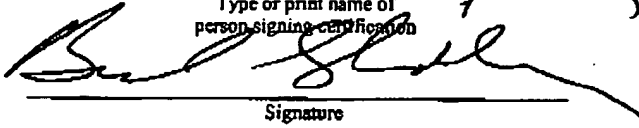
For : SIGNAL RECORDING/REPRODUCING METHOD AND  
APPARATUS, SIGNAL RECORD MEDIUM AND SIGNAL  
TRANSMISSION/RECEPTION METHOD AND APPARATUS

Filed : November 2, 2001

Examiner : Huy Thanh Nguyen

Art Unit : 2621

745 Fifth Avenue  
New York, NY 10151**FACSIMILE**I hereby certify that this paper is being facsimile transmitted to the  
Patent and Trademark Office on the date shown below.

  
Type or print name of  
person signing certification  
  
Signature  
September 22, 2006  
Date of Signature

**AMENDMENT IN RESPONSE TO RESTRICTION REQUIREMENT**Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the Office Action dated September 7, 2006, please amend the above-  
identified application as follows: